## **Continuum-Type Stability Balloon in Oscillated Granular Layers**

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The stability of convection rolls in a fluid heated from below is limited by secondary instabilities, including the skew-varicose and crossroll instabilities. We observe a stability boundary defined by these same instabilities in stripe patterns in a vertically oscillated granular layer. Molecular dynamics simulations show that the mechanism of the skew-varicose instability in granular patterns is similar to that in convection. These results suggest that pattern formation in granular media can be described by continuum models analogous to those used in fluid systems. [S0031-9007(98)06880-X]

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A system comprised of a large number of discrete grains behaves like a fluid under some conditions and like a solid under others but can also display behavior unique to the granular state [1,2]. Despite substantial recent interest in both the statics and dynamics of granular systems, there is no unifying theoretical description of granular materials, and it has been argued that a general local, continuum description of granular media, analogous to hydrodynamics, is unlikely to exist [2,3]. Nonetheless, there are specific granular systems which can be described by continuum models [4]. In this Letter we report on a study of the stability of stripe patterns that form when a horizontal layer of granular material is oscillated vertically [5-7]. In both experiments and simulations, we observe behavior strikingly similar to that seen in fluid dynamical systems [8].

Experiments on pattern formation in oscillated granular layers [5-7] have demonstrated the existence of subharmonic stripe, square, and hexagonal patterns [5,6], as well as localized structures called oscillons [7], as the frequency and amplitude of the vibration and the layer thickness are varied. The patterns are similar in spatial structure to those observed in fluid dynamical systems, most notably parallel convection rolls in a thin layer of fluid heated from below (Rayleigh-Bénard convection) [8,9] and standing surface waves in a vertically oscillated liquid layer (the Faraday instability) [8,10]. Molecular dynamics simulations [11,12] have reproduced the observed granular patterns at values of the control parameters equal to those used in the experiments [12]. Some aspects of the granular patterns have been described using models for the dynamics [13], amplitude equations [14], and iterative maps [15]. However, a rigorously derived theoretical description of pattern formation in oscillated granular media does not yet exist, and the extent to which the well-developed understanding of patterns in fluid systems [8] can be applied to granular material has not been established.

Our experimental apparatus is similar to that used in Refs. [5,6]. Bronze spheres 150–180  $\mu$ m in diameter are contained in a cylindrical cell 14.7 cm in diameter. The cell sidewall and top lid are made of Plexiglas. The aluminum bottom plate is flat out to a radius of 5.0 cm, then

slopes upwards to the sidewall at an angle of 2°. Like fluid convection rolls, the stripes prefer to form perpendicular to the cell wall; the "beach" reduces the effect of the sidewall on their orientation. The cell is evacuated and an electromagnetic shaker, driven sinusoidally, oscillates the cell vertically at a frequency f.  $\Gamma$ , the amplitude of the acceleration relative to the gravitational acceleration g, is determined using an accelerometer mounted on the bottom of the cell. The pattern is illuminated with a ring of light-emitting diodes encircling the cell and strobed at f/2. Images of the patterns are recorded using a digital video camera mounted above the cell.

Experiments were performed on layers of thickness N (scaled by the mean particle diameter) in the range 6.6 <N < 17, in a frequency range over which stripe patterns formed. The layer was flat for  $\Gamma < \Gamma_c \approx 2.5$ , at which point stripes appeared via a subcritical bifurcation. Typically,  $\Gamma$  was increased suddenly from below onset to a value in the stripe regime. We observed time-dependent patterns which were predominantly stripelike but with curvature, and which contained point defects, grain boundaries, spiral defects [6], and sidewall foci. Stripes persisted as  $\Gamma$  was increased, until the system (for most conditions) underwent a subcritical transition to hexagons [5]. Below this transition we observed secondary instabilities of the stripes [8,9] which limited the wave-number range over which stripes were stable. Similar phenomena occurred in cells without a beach.

Figure 1 shows the localized skew-varicose instability observed when the local wave number of the pattern becomes too large. An initially straight pattern of stripes develops a distortion. Eventually one or more stripes pinch off and dislocation defects form, which then propagate away by a combination of climbing and gliding. Since one or more stripes are destroyed by this process, it leads to a decrease in the local wave number. Analogous behavior has been observed in Rayleigh-Bénard convection [16–18] and the Faraday instability [19].

Figures 2(a)-2(c) show an example of a crossroll instability, by which the stripes lose stability to perpendicular stripes and/or squares which develop locally.



FIG. 1. A sequence of images showing the skew-varicose instability of a stripe pattern and the formation of a pair of defects. (a) Time t = -4.0 s, straight stripes with wave number  $\langle k \rangle = 10.8 \text{ cm}^{-1}$ ; (b) t = 0 s, skew-varicose distortion is visible; (c) t = 0.5 s, defects form; (d) t = 1.0 s, defects move away, leaving a stripe pattern with  $\langle k \rangle = 9.9 \text{ cm}^{-1}$ .  $\Gamma = 3.10$ , f = 35 Hz, N = 9.2, and a 4.7 cm square region of the cell is shown.

Figures 2(d)-2(f) illustrate the replacement of a stripe pattern by perpendicular stripes via the propagation of a grain boundary. For most conditions, both of these instabilities occur when the local wave number becomes too small, and both are also observed in fluid systems [10,16,20,21].

To determine the wave number k at which the stripe pattern becomes unstable, we used the method of Ref. [18]

to determine k locally in small regions of the pattern in which the instability occurs; k was averaged over the region of interest for a time series of images bracketing the instability. The mean wave number  $\langle k \rangle$  is plotted in Fig. 3 for the skew-varicose event of Fig. 1.  $\langle k \rangle$  increases up to the onset of the instability, then suddenly changes when a stripe is pinched off and defects form. The standard deviation  $\sigma$  of  $\langle k \rangle$  increases as the pattern gradually becomes distorted and is relatively large immediately following the instability. After the defects have traveled away, a stripe pattern with a smaller wave number remains and  $\sigma$  is again low.

Figure 4 shows analogous data for the grain boundary event of Figs. 2(d)-2(f). In this case  $\langle k \rangle$  decreases prior to the onset of the instability. When the perpendicular rolls invade, the local pattern is disrupted and  $\sigma$  increases. At the end of the time interval shown, the region contains a pattern of straight stripes perpendicular to and with k larger than the original stripes.

By analyzing a number of similar events over a range of  $\Gamma$ , f, and N, we can construct a stability diagram for the stripe pattern analogous to the Busse balloon [9] for Rayleigh-Bénard convection. We take the maximum value of  $\langle k \rangle$  before a stripe pinches off as an estimate of the wave number corresponding to the skew-varicose instability, and the minimum value of  $\langle k \rangle$  before the appearance of perpendicular rolls as that for the crossroll and grain boundary instabilities.

There is a limited range of k over which the stripe pattern is stable, as shown by Fig. 5, which is the measured stability diagram for N = 9.2 and f = 29.7 Hz. The stability range is bounded at high k by the skew-varicose



FIG. 2. Top: a sequence of images showing the crossroll instability of a stripe pattern. (a) t = -8.1 s, stripes with  $\langle k \rangle = 11.1$  cm<sup>-1</sup>; (b) t = 1.5 s, local modulation of the stripes is evident; (c) t = 5.3 s, crossrolls have developed.  $\Gamma = 2.98$ , f = 35 Hz, N = 6.7, and the region shown is 3.5 cm square. Bottom: A propagating grain boundary. (d) t = -2.4 s, stripes with  $\langle k \rangle = 6.8$  cm<sup>-1</sup>; (e) t = 2.1 s, perpendicular rolls invade from the top edge of the image; (f) t = 5.0 s, the pattern is predominantly perpendicular stripes with  $\langle k \rangle = 7.0$  cm<sup>-1</sup>.  $\Gamma = 2.82$ , f = 26.8 Hz, N = 11.3, and the region shown is 4.7 cm square.



FIG. 3. The average wave number  $\langle k \rangle$  (top) and standard deviation  $\sigma$  (bottom) for the skew-varicose event illustrated in Fig. 1. The times corresponding to the four images shown in Fig. 1 are indicated above the graph. Between the dashed lines the pattern is not locally stripelike due to the presence of defects, and the values of  $\langle k \rangle$  and  $\sigma$  are plotted as small symbols to illustrate only the change that occurs when the defects form.



FIG. 4. The average wave number  $\langle k \rangle$  (top) and standard deviation  $\sigma$  (bottom) for the grain boundary event of Figs. 2(d)–2(f). The times corresponding to the images shown in Figs. 2(d)–2(f) are indicated above the graph. Values of  $\langle k \rangle$  and  $\sigma$  between the dashed lines are plotted as small symbols to illustrate the qualitative change that occurs when the perpendicular rolls invade.

instability and at low k by the crossroll instability and the grain boundary mechanism. This boundary is based on measurements of *local* instabilities, but it was recently demonstrated that local instabilities in Rayleigh-Bénard convection appear at the stability boundary calculated for infinite, straight convection rolls [18]. The stability boundary in Fig. 5 apparently has a nonzero width at the transition from stripes to the flat layer, perhaps because our method may somewhat overestimate the width of



FIG. 5. The stability boundary for stripe patterns at N = 9.2, f = 29.7 Hz. Open squares indicate the crossroll instability, solid squares the grain boundary mechanism, and circles the skew-varicose instability. Each point is the average over several events; the error bars are standard deviations. The lower dashed line indicates the point at which the pattern fills the cell as  $\Gamma$  is increased, and the upper dashed line marks the transition from stripes to hexagons.

the stability balloon, since the large-amplitude dynamics we take as indicating the instability develops some time after the pattern actually crosses the stability boundary, or possibly because the intrinsic noisiness of the granular system prevents the saddle node from being reached. Similar stability boundaries, but with slightly different shapes, were measured at a number of different values of N and f. However, for the depth in Fig. 5, the boundary is different at high frequencies: at f = 40 Hz, the stripes are unstable to crossrolls on both the high and low k sides of the stability boundary, and at f = 45 Hz, squares rather than hexagons limit the range of existence of stripes at high  $\Gamma$ . These stability boundaries are similar to those for Rayleigh-Bénard convection rolls: For low Prandtl number fluids [22], the skew-varicose instability forms the high-k limit of the stability balloon, while crossrolls are observed on the low-k side [9,16,17]. For a higher Prandtl number, the crossroll instability occurs at both high and low k [9,20].

We have also observed skew-varicose and crossroll instabilities in event-driven molecular dynamics simulations that have been previously validated against experiment [12]. We simulated the motions of 60 000 particles in a square cell of side 100 particle diameters, with periodic boundary conditions in both horizontal directions. A pattern of straight stripes with k either too large or too small to be stable at the frequency of the simulation was used as the initial condition. Time sequences of the resulting instabilities are shown in Fig. 6. As in the experiments, the skew-varicose instability leads to a decrease in k, while the crossroll instability leads to an increase in k.

Patterns of rolls in fluid convection are well described by partial differential equations for the amplitude (near onset) and the phase of the pattern [8]. The crossroll instability exists in an amplitude equation description that allows for the existence of two perpendicular modes. The skew-varicose instability, however, depends on the existence of a large scale vertical vorticity (or mean flow), which couples to roll curvature [23]; it does not arise solely from symmetry considerations. To determine whether an analogous vertical vorticity exists for skew-varicose instabilities in the granular layer, the particle velocities from the simulations were averaged spatially over depth and temporally over one oscillation of the pattern. The resulting two-dimensional velocity field  $\vec{v}$  was then low-pass filtered to remove spatial frequencies due to the pattern itself. Finally, we calculated the squared vorticity,  $\omega^2 \equiv$  $(\nabla \times \vec{v})^2$ , and averaged it over the entire cell. The result is shown in Fig. 6(c). The vertical vorticity increases as the skew-varicose instability develops, then sharply decreases after a stripe pinches off. In contrast, there is no change in  $\langle \omega^2 \rangle$  for the crossroll instability.

We have shown that the range of stability of stripe patterns which form in an oscillated granular layer is limited by the skew-varicose and crossroll instabilities, and that the stability boundary of stripe patterns in granular layers





FIG. 6. Instabilities of a stripe pattern from simulations of the oscillating layer with  $\Gamma = 3.0$ , N = 5.4. (a) Skew-varicose instability at  $f/\sqrt{g/H} = 0.37$ ; kH = 1.7 initially and 1.4 after the instability. (b) Crossroll instability at  $f/\sqrt{g/H} = 0.40$ ; kH = 1.36 before and 1.7 after. Here *H* is the layer depth and *T* is the oscillation period. (c) Mean-square vertical vorticity as a function of time for the instabilities shown in (a) and (b).

is similar to that of straight rolls in a convecting fluid. Our simulations produce the same instabilities and indicate that vertical vorticity plays a role in the skew-varicose instability, as it does in fluid systems. These results demonstrate a clear correspondence between patterns in oscillated granular media and hydrodynamic systems. Reproduction of this stability boundary would be a stringent test of any continuum description of pattern formation in an oscillated granular layer.

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