Stationary state volume fluctuations in a granular medium

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A statistical description of static granular material requires ergodic sampling of the phase space spanned by the different configurations of the particles. We periodically fluidize a column of glass beads and find that the sequence of volume fractions ϕ of postfluidized states is history independent and Gaussian distributed about a stationary state. The standard deviation of ϕ exhibits, as a function of ϕ , a minimum corresponding to a maximum in the number of statistically independent regions. Measurements of the fluctuations enable us to determine the compactivity X, a temperaturelike state variable introduced in the statistical theory of Edwards and Oakeshott [Physica A **157**, 1080 (1989)].

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Granular materials consist of a large number N (typically more than 10⁶) dissipative particles that are massive enough so that their potential energy is orders of magnitude larger than their thermal energy. The large number suggests that a statistical description might be feasible. Edwards and coworkers [1] developed such a description with the volume Vof the system, rather than the energy, as the key extensive quantity in a static granular system. The corresponding configuration space contains all possible mechanically stable arrangements of grains.

Brownian motion is insufficient for a granular system to explore its configuration space, so energy must be supplied by external forcing such as tapping [2], shearing [3], or both [4]. The theory of Edwards requires that the forcing assures ergodicity: all mechanically stable configurations must be equally probable and accessible. A necessary condition for ergodicity is history independence: the physical properties of the system must not depend on the way a specific state was reached. History independence has previously been demonstrated only by Nowak *et al.* [2] for tapped glass beads at volume fractions $\phi > 0.625$.

In this paper we explore the configuration space using a periodic train of flow pulses in a fluidized bed. A stationary column of glass beads in water is expanded by an upward stream of water until it reaches a homogeneously fluidized state [5], and then the flow is switched off. The fluidized bed collapses [6] and forms a sediment of volume fraction ϕ , which we find depends in a reproducible way on the flow rate Q of the flow pulse. This forcing results in a *history-independent* steady state where the volume exhibits Gaussian fluctuations around its average value.

A central postulate of the Edwards theory is the existence of a temperaturelike state variable called compactivity $X = \partial V / \partial S$. The entropy *S* is defined in analogy to classical statistical mechanics as $S(V,N) = \lambda \ln \Omega$, where Ω is the number of mechanically stable configurations of *N* particles in *V*, and λ is an unknown analog to the Boltzmann constant. The assumption that *X* is a relevant control parameter in granular systems has found support in simulations of segregation in binary mixtures [7], compaction under vertical tapping [8], and shearing [9]. However, no measurements of X have been reported. In this paper we determine X from the measured volume fluctuations using a method suggested in Ref. [2].

Experiment. The apparatus is shown in Fig. 1(a). A square bore glass tube $(24.1 \times 24.1 \text{ mm}^2)$ contains about 3.6×10^6 beads (soda-lime glass, $d=250\pm13 \mu$ m, density 2.46 g/cm³, MO-SCI Corporation). The beads are fluidized with pulses of temperature-controlled $(23.0\pm0.1 \text{ °C})$ deionized water.

Flow pulses were generated by a computer-controlled syringe pump. During a flow pulse of length τ_{pulse} [Fig. 1(b)], the bed expanded until its height reached a stable value



FIG. 1. (a) Experimental setup. The inset shows the correlation between the height changes Δh during a flow pulse to the fluidized bed, as seen by the two cameras (800 flow pulses, Q=60 ml/min). (b) Development of the bed height during flow pulses of Q = 40 ml/min. The arrows (\uparrow) indicate measurements of h.

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FIG. 2. History-independent steady state: (a) The volume fraction ϕ of the sedimented bed reaches a steady state that depends only on the flow rate Q. (b) The inset shows the increase in volume fraction as Q was decreased in 14 steps from 60 ml/min to 23 ml/min, followed by a decrease in volume fraction as Q was increased again; each step consisted of 50 flow pulses. The main graph (b) shows that the steady-state volume fraction is the same for increasing (\bigcirc) and decreasing (\bigcirc) Q; the dashed line is a fit to (1).

where the time-averaged viscous drag force on each particle corresponded to its weight; τ_{pulse} was chosen so $Q\tau_{pulse}$ = 30 ml. After each flow pulse, the bed was allowed to settle into a mechanically stable configuration, which was determined by measuring the time-resolved correlation of laser speckle patterns [10]. A waiting time τ_{wait} of 30 s was found to be ample for achieving a jammed state [11]. After τ_{wait} the volume fraction ϕ was determined by measuring the bed height *h* with two charge-coupled device (CCD) cameras at a 90° angle. Averaging over the width of the bed yielded height values with a standard deviation of only 0.008*d* for a bed at rest [cf. inset of Fig. 1(a)].

History-independent steady state. Starting with an initial $\phi = 0.581$ prepared with a single flow pulse of Q = 60 ml/min, the volume fraction quickly approaches a Q-dependent constant value ϕ_{avg} , as shown in Fig. 2(a). Then with successive flow pulses, ϕ fluctuates about ϕ_{avg} . The history independence is demonstrated by ramping up and down in flow rate [Fig. 2(b)]; ϕ depends only on Q of the last flow pulse, not the earlier history of the bed. The remaining differences in ϕ_{avg} for increasing and decreasing Q are



FIG. 3. Volume fraction fluctuations around the steady states shown in Fig. 2(a) are Gaussian distributed. The upper row shows examples of the volume fraction measurements as a function of the number of flow pulses; ϕ_{avg} is indicated above each column. The lower row shows the corresponding probability distributions obtained from 800 flow pulses; the curves are Gaussian fits.

on average only 1.6×10^{-4} . These small variations are correlated with the viscosity changes due to the temperature drift of ±0.1 °C during the course of the experiment (35 h).

For slow sedimentation, corresponding to $Q \rightarrow \infty$, ϕ should converge to its random loose packing value, ϕ_{RLP} [12]. In the absence of a theory, we fit the data to a phenomenological equation,

$$\phi(Q) = \phi_{\text{RLP}} + \frac{a}{Q-b} \tag{1}$$

[Fig. 2(b)]. This yields $\phi_{\text{RLP}}=0.573\pm0.001$ (*a* = 0.365 ml/min, *b*=12.9 ml/min), where the uncertainty arises not from the very small uncertainty in bed height measurement (corresponding to 0.0003 in ϕ) but from variations in the cross section of the square tube.

Volume fluctuations. Gaussian fluctuations in ϕ are observed for the whole range of ϕ studied; examples are shown in Fig. 3. The standard deviation of these fluctuations σ_{ϕ} , like ϕ_{avg} , was found to be history independent. The variation of σ_{ϕ} with ϕ fits a parabola with a minimum at ϕ_m =0.596 [Fig. 4(a)]. The minimum corresponds to a maximum in the number of statistically independent spatial regions N_i at the moment of solidification,

$$\frac{\sigma_{\phi}}{\phi_{\text{avg}}} = \frac{k}{\sqrt{N_i}},\tag{2}$$

where $k \approx 0.2$, estimated by Nowak *et al.* [2] from the maximal volume fraction fluctuations a single spatial region could undergo. Using (2) and the parabolic fit in Fig. 4(a), we estimate the average number of particles per statistically independent region, $N_{ppr} = N/N_i$ (where N is the number of particles). Figure 4(b) shows N_{ppr} to be 40 for random loose packing; it reaches a minimum of about 1.8 at ϕ_m and then increases again. This contrasts with the roughly constant



FIG. 4. (a) Volume fraction fluctuation measurements fit a parabolic curve, (b) number of particles in a statistically independent region, and (c) compactivity as a function of the average volume fraction. At ϕ_m the system has a maximum in the number of statistically independent regions [cf. (2)].

value of $N_{\rm ppr}$ found in previous experiments [2] for ϕ in the range 0.625–0.634.

We suggest that the minimum of N_{ppr} at ϕ_m corresponds to a crossover of competing mechanisms. With increasing ϕ above ϕ_{RLP} , where force chains stabilize the medium, the number of contacts per grain increases [13], and the probability that the displacement of one grain will mechanically destabilize other grains decreases. Consequently N_{ppr} decreases. But with increasing ϕ , the free volume per particle decreases. The latter effect is dominant for $\phi > \phi_m$, where geometrical constraints allow movement only as a collective process of an increasing number of beads. Hence N_{ppr} and σ_{ϕ} increase. At the maximum random jammed packing, ϕ_{MRJ} =0.64, any motion would require a rearrangement of the whole system [14].

Knowing the dependency of σ_{ϕ} on ϕ enables us to determine Edwards' compactivity X using a granular version of the fluctuation dissipation theorem derived by Nowak *et al.* [2]. We obtain

$$\frac{\lambda\rho}{m} \int_{\phi_{\rm RLP}}^{\phi} \left(\frac{\phi'}{\sigma_{\phi'}}\right)^2 d\phi' = \frac{1}{X(\phi)},\tag{3}$$

where we have used $X(\phi_{\text{RLP}}) = \infty$ from granular statistical mechanics, m is the particle mass, and ρ is the particle den-



FIG. 5. Influence of particle surface roughness. On the left are scanning electron microscopy images of the surface of new and aged glass beads. The plot on the right shows the volume fraction fluctuations of new beads (\blacksquare) together with a parabolic fit (solid line); to minimize aging, measurements were made with only 200 flow pulses. The dashed line is the parabolic fit for aged beads from Fig. 4.

sity. We solve (3) numerically by substituting σ_{ϕ} from the parabolic fit [Fig. 4(a)] and starting at ϕ_{RLP} determined from the fit with (1). This first experimental result obtained for X is shown in Fig. 4(c); the values involve the unknown multiplicative factor λ . No theoretical or numerical work has predicted how X depends on ϕ . Knowing X will allow further tests of granular statistical mechanics.

Aging and friction. Our experiments revealed an aging effect due to a change in the frictional properties of the beads. Friction between beads decreases with multiple collisions, which lead to a decrease in the number of surface asperities, as illustrated in Fig. 5. The data we have presented show no aging during the 12 000 pulses in the experiment; the beads had been previously used for more than 45 000 flow pulses. Just as for aged beads, the volume fraction standard deviation for new beads fits a parabola, as Fig. 5 illustrates; the minimum is at $\phi_m = 0.587$. The shift of the minimum to a value lower than lower $\phi_m = 0.596$ for aged beads is consistent with our argument about statistically independent regions: a higher value of μ makes dilute states less fragile and lowers the onset of the necessity of collective motion. For new beads a fit to (1) results in ϕ_{RLP} =0.568±0.001, in accordance with ϕ_{RLP} =0.566±0.004 interpolated from the measurements (presumably with new beads) in Ref. [12]. This shows that ϕ_{RLP} depends on the frictional properties of the beads, as predicted in Ref. [15].

Conclusions. We have shown that the configuration space of a granular medium can, by using flow pulses, be explored in a history-independent way, which is essential for the statistical theory [1] to be applicable. Fluctuations of the volume fraction are Gaussian with a parabolic minimum, which corresponds to a maximum in the number of statistically independent regions. The minimum arises as a consequence of competing mechanisms; the location of the minimum depends on the frictional properties of the beads. The compactivity can be determined from the volume fraction fluctuations; hence compactivity is a well-defined parameter representative of the material. This opens the door for further experiments that would, for example, investigate the use of compactivity as a control parameter for segregation in binary mixtures [7,15].

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